

Semi-Annual Social Monitoring Report

Project number: 34304-043

Period: July – December 2016

NEP: Kathmandu Valley Water Supply
Improvement Project

Prepared by Project Implementation Directorate, Kathmandu Upatyaka Khanepani Limited (KUKL), Government of Nepal for the Asian Development Bank.

This social monitoring report is a document of the borrower. The views expressed herein do not necessarily represent those of ADB's Board of Directors, Management, or staff, and may be preliminary in nature.

In preparing any country program or strategy, financing any project, or by making any designation of or reference to a particular territory or geographic area in this document, the Asian Development Bank does not intend to make any judgments as to the legal or other status of any territory or area.



Project Implementation Directorate
Kathmandu Valley Water Supply and Sanitation Project
Anamnagar, kathmandu

**SEMI-ANNUAL SOCIAL SAFEGUARD MONITORING
REPORT (July - December 2016)**
(ADB Loan No. 2776 and 3255 NEP - SF)

Social Safeguard Unit

January, 2017

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A. Executive Summary

The proposed Kathmandu Valley Water Supply Improvement Project¹ will support the ongoing efforts of the Government of Nepal towards improving the water supply services in Kathmandu Valley. The project will invest in bulk water transmission and distribution network improvement systems, and will complement past and ongoing Asian Development Bank (ADB) projects.² The resultant synergy is expected to lead to increased efficiencies, greater improvement in service delivery, and higher impact on health outcomes and quality of life for inhabitants of Kathmandu Valley. This bi annual report presents the Resettlement Plan (RP) implementing status from January to June 2015. It is being implemented by the Project Implementation Directorate (PID), Kathmandu Upatyaka Khanepani Limited (KUKL), who will address any resettlement impacts, permanent or temporary, during project implementation.

The overall project is classified as category B in accordance with ADB Safeguard Policy Statement (SPS). ADB's SPS covers both temporary and permanent impacts

There is no land acquisition under the proposed project activities. Required land for the project structures was previously acquired under the ADB Loan 1820. Altogether 594 businesses in narrow streets are identified those experience partially affected during the pipe laying time. Till now impact are avoided by good traffic management and time. Efforts are continue to avoid the adverse impact.

A total of twenty three major consultative meeting were held in community to disseminate project information and project entitlements. The meeting covered issues of community participation as well as and other project related activities. The regular meeting with community is continuous.

The PID KUKL has been addressing grievance resulted from project activities. Till the reporting period 40 cases of grievances has been filed in project. The grievances are related to rehabilitation of damaged utility during pipe laying period, rehabilitation of retaining walls and house connection. Most of them are handled immediately based on project entitlement framework and IEE report. No case of grievance is pending to settle. The implementing body has set up institutional requirement for the implementation of RP and the RP implementation is continuous.

B. Project description

Melamchi Water Supply Project (MWSP) has been implementing since December 2000, aiming to improve the overall water supply and wastewater systems of the Kathmandu Valley. MWSP covers parts of the Indrawati and Bagmati watersheds and project areas include districts of Kathmandu, Lalitpur and Bhaktapur. The key water diversion facilities, headwork, diversion tunnel and access roads are located within Sindhupalchowk district, whereas, the water treatment plant at Sundarimal, water distribution network, balancing reservoirs and the other Ancillary structures are located within Kathmandu Valley. The implementation of the project was affected during the conflict period due to various local and national issues. In the meantime some of the donors withdrew their support for the project in 2005 and 2006.

¹ The project was prepared through PPTA 4893-NEP: Preparing the Kathmandu Valley Water Distribution, Sewerage, and Urban Development Project, approved on 13 December 2006. Due to restructuring of ongoing loans, the work of PPTA started in 2009, and final report was submitted in May 2010.

² Loan 1820-NEP (SF): Melamchi Water Supply Project was approved on 21 December 2000, and became effective on 28 November 2001. Loans 2058/2059-NEP (SF): Kathmandu Valley Water Services Sector Development Program were approved on 18 December 2003, and became effective on 7 December 2004.

Later in 2008, MWSP was split into two distinct subprojects: (i) Subproject 1: Melamchi River Water Diversion Project covering all project activities in the Melamchi Valley, including the Water Diversion Tunnel (WDT) and Water Treatment Plant (WTP); and (ii) Subproject 2: Kathmandu Valley Water Supply and Sanitation Project to undertake distribution network improvement inside Kathmandu Valley. The implementation responsibilities were entrusted to Project Implementation Directorate (PID), which was formed under the Kathmandu Upatakaya Khanepani Limited (KUKL) Board of Directors, through a MOU signed on 19 April 2009 between Government of Nepal (GoN) [Ministry of Finance (MoF), Ministry of Physical Planning and Works (MPPW)], Kathmandu Valley Water Supply and Management Board (KVWSMB), KUKL and Asian Development Bank (ADB). Resettlement Plans have been prepared with the entitlements, assistance and benefits, provision of business, institutional arrangements, tasks and responsibilities of each level of the agencies involved in resettlement planning for the project.

Under the present loan from ADB (Loan No.2776 & 3255). Scopes of the subproject-2 are as follows:

- Immediate improvements of water supply services in Kathmandu valley through augmentation of surface and groundwater sources;
- Water quality improvement related works;
- Rehabilitation and improvement of water supply and sewer networks at the primary, secondary and tertiary levels as well as house connections in parts of KUKL service area;
- Design and construction of the Bulk Distribution System (BDS);
- Improvement of the Service Management system of KUKL
- Provide project management support to PID

The ADB Public Communication Policy (PCP 2011) refers the Safeguards Policy Statement (SPS 2009) with respect to information disclosure related to project safeguard documentation which states that ADB shall post on its website the safeguard documents submitted by the borrower and or/ client. These safeguard documents include Resettlement and Indigenous People Plan (RP & IPP) and the social and safeguard monitoring reports. To comply with the PCP of ADB, the KUKL, PID shall submit one semiannual monitoring reports periodically over the total implementation period of the project, covering Environment, Resettlement and safeguard issues. This also has been spelled out in the project document and loan covenant of KUKL that the borrower/client shall submit the aforesaid reports to ADB.

Prior to civil works implementation, all the subprojects selected for construction development are to be screened and classified using ADB's classification system. The Kathmandu Valley Water Supply Improvement Project is classified B for involuntary resettlement and category C for indigenous people.

C. Scope of social safeguard impacts

The covenants of loan agreement with ADB requires that Resettlement Framework (RF) documents shall be complied during the implementation of project in accordance with ADB's SPS 2009 and RF prepared for the project and agreed between the Borrower and ADB. Any projects that involve land acquisition and resettlement impacts are to be prepared under RPs and other safeguard reports as required under the scope of approved RP.

There are no cases of displacement of people, other than temporary relocation of hawkers and mobile vendors during construction. However, till the construction time there are temporary inconveniences such as increased vehicle movements that affect the pedestrians of the immediate vicinity of the work. Based on final detail design updated resettlement plan has been submitted to ADB. RP's have identified a total of 594

business vendor's experience (DNI Package I -302, DNI Package II-174 and DNI Package III – 118) partial disturbance due to the project activities. The estimated compensation amount for budget purpose is \$ 10,484.62. The **Table no. 1** below shows that summary of project activity and identified project impacts.

Table no 1 Summary of Project description and identified impacts

Package	Project Activities	Number of affected business	RP status
DNI Package			
Package I	Pipe laying work Territory Main HDPE pipe 142,878 m Secondary Main pipe 38,033 and Primary DI pipe 6,344. The total pipe length is 187,255 meter	302	Submitted updated RP to ADB
Package II	Pipe laying work Territory Main HDPE pipe 189,443 m Secondary Main pipe 50,128 and Primary DI pipe 10,514. The total pipe length is 213, 159 meter	174	Submitted updated RP to ADB
Package II	Pipe laying work Territory Main HDPE pipe 5,265 m Secondary Main pipe 44,733 and Primary DI pipe 13,161. The total pipe length is 213, 159 meter	118	Submitted updated RP to ADB
Total		594	
BDS Package			
BDS I	Construction of three reservoirs Arubari tank 8500 CU.M. Capacity, Mahankal Chaur -2 tank 8000 CU.M. Capacity and New Bansbari tank 9500 CU.M Capacity. The size of pipe varies from 400 mm to 1400 mm. The total length of pipe for this package is 10.043 Km	No IR Impact Due Diligence Report	DDR is prepared and submitted to ADB
BDS II	Construction of two reservoirs Panipokhari Tank 7500 CU.M and Khumaltar 9500 CU.M capacity. The size of pipe varies from 400 to 1100 mm. The total length of pipe for this package is 23.527 Km length	No IR Impact Due Diligence Report	DDR is prepared and submitted to ADB
BDS III	Construction of one water reservoir in Balaju with 7000 CU.M. Capacity. The length of pipe laying for this package is 15.57 Km and the, size of pipe varies from 500 to 1000 mm	No IR Impact Due Diligence Report	DDR is prepared and submitted to ADB

Package	Project Activities	Number of affected business	RP status
BDS IV	Construction of three reservoir at Tigeni (3000 cum), Katunje (6000 cum), Kirtipur (6000 cum), and 16.290 length pipe lying and the pipe size varies 400 to 700 mm	No IR impact Due Diligence Report	DDR is prepared and submitted to ADB

Table no 2 Summary of Project description and identified impacts

Package	RP Status	Identified Impacts	Impact during construction	Progress	Remarks
DNI I	Updated and submitted to ADB	302	No impact	Physical: 51.88% Financial: 55.11.%	Impact are avoided by good traffic management, consultation, communication with community and construction time
DNI II	Updated and submitted to ADB	174	No impact	Physical:64.00 % Financial: 53.00%	Impact are avoided by good traffic management, consultation, communication with community and construction time
DNI III	Updated and submitted to ADB	118	No Impact	Physical: 63.50% Financial: 59.19%	Impact are avoided by good traffic management, consultation, communication with community and construction time
BDS I	DDR submitted	No	No Impact	Physical: 47.0% Financial: 54.30%	Impact are avoided by good traffic management, consultation, communication with community and construction time
BDS II	DDR submitted	No	No Impact	Physical: 43.49% Financial: 46.60%	Impact are avoided by good traffic management, consultation, communication with community and construction time
BDS III	DDR submitted	No	No Impact	Physical: 57.16% Financial: 50..14%	Impact are avoided by good traffic management, consultation, communication with community and construction time
BDS IV	DDR submitted	No	No impact	Physical: 1.00% Financial: 32.23%	Impacts are avoided by good traffic management, consultation, communication with community and construction time
Municipal road	DDR submitted	No	No impact	Physical: 0.00% Financial: 8.44%	Physical construction work is yet to be resume

Source: Resettlement Plan, project progress report

The above table no 2 describes project progress and impact address status. The RP identified certain vendors and vendors that will experience disturbance during civil work. Till the reporting period, the impacts are avoided by good traffic management, consultation, communication with community and construction time management.

D. Compensation and Rehabilitation

Land acquisition activity is not associated under the Loan 2776 for Kathmandu Valley Water Supply Improvement Project. The Land Acquisition Act (LAA) 2034 (1977A.D) sets out compensation standards and benefits such as compensation for lost assets at market value, provision for alternative land and compensation for standing crops and trees. To cover resettlement-related needs of the Project Affected Persons (PAP's), under Loan 2776, it has prepared a Resettlement Policy Framework (RPF). The policy also ensures benefits to non-titled persons such as encroachers and squatters with appropriate displacement allowances for relocation and income restoration assistance. The Compensation Determination Committee (CDC) headed by Chief District Officer is responsible for evaluation of property and its compensation. The RP emphasizes that compensation should enable project-affected people to maintain the existing to better standard of living.

RP compensation and mitigation measures include the following:

- Compensation for vendors, business and shops that experience complete closure during pipe laying period. The RP updating of all DNI service area is completed. The RP identified impacts and estimated compensation cost. The AP will submit all legal testimonies (tax paid record, business registration) and receive compensation.

E. Public participation and consultation

The new issue of newsletter has been published. The newsletter has been produced in local Nepali language and disseminate in project related event and meeting. The content of newsletter is project progress and the mechanism of grievance handling. Similarly, the project has prepared five short films that describes the project progress status and situation of water demand in Kathmandu valley. The documentary has become popular and effective means of information dissemination. It also includes the mechanism of grievance handling and current project situation. The films are presented before the opening of each formal and informal community level meetings.

While implementing the RP, an extensive consultation program with project affected people, vendors and land owner was carried out, in line with the requirements pertaining to receive compensation and compensation determination procedure. The public, business owners, affected people and shopkeepers were informed about the possibility of disruption to the business. The consultation also focused for an entitlement matrix of the project and compensation receiving procedure. The tools used for consultations were stakeholder workshops and meetings, interviews, structured questionnaires, and focus group discussions (FGD). These consultations provided inputs for identification of the felt needs of the communities, and the relevant stakeholders. During the consultation the participants put queries about the project implementation methodology, entitlement matrix, documents required to receive, compensation, local people support to facilitate project activities and employment opportunities. The project team briefed the information based on the scope of project. The detail of public consultation is presented in below **Table no. 3**

Table No.3 Public Consultation Matrix

Month	No. of Participants	Location	Participants attended	Topic/issues discussed	Information
July	Male: 132 Female: 57	BDS and DNI area	Municipal officials, Project team, Local vendors, TLO members, local people	Local employment, Compensation for business disruption, quality of works,	Construction time will be less traffic period, priority to local people in job, compensation will be provided
August	Male: 124 Female: 55	BDS and DNI area	Municipal officials, Project team, Local vendors, TLO members, local people	Quality of Works, Entitlements matrix, construction time	Quality of works will be maintained and disseminated project entitlements, less traffic time
September	Male: 75 Female: 34	BDS and DNI area	Municipal officials, Project team, Local vendors, TLO members, local people	Traffic diversion, business disruption, quality of works	Appropriate diversion and compensation package, quality maintained
October	Male: 141 Female: 51	BDS and DNI area	Municipal officials, Project team, Local vendors, TLO members, local people	Wage discrimination, Compensation package, construction time	No discrimination in wage rate, construction time will be in less traffic period and complete rehabilitation work immediately
November	Male: 124 Female: 46	BDS and DNI area	Municipal officials, Project team, Local vendors, TLO members, local people	Compensation providing authority construction time	Compensation by KUKL, construction time will be in less traffic period and complete rehabilitation work immediately
December	Male: 84 Female: 11	BDS and DNI area	Municipal officials, Project team, Local vendors, TLO members, local people	Entitlements matrix, compensation providing authority construction time	Discussed entitlement matrix, KUKL authority for compensation construction time will be in less traffic period and complete rehabilitation work immediately

F. Grievance Redress Mechanism

A grievance redress mechanism (GRM) has been established to receive, evaluate, and facilitate the resolution of affected people's concerns, complaints, and grievances about the social and environmental performance at the level of the project. The Safeguard officer from PID has been assigned as coordinator for grievance handling. The GRM aims to provide a trusted way to voice and resolve concerns linked to the project, and to be an effective way to address affected people's concerns. During the reporting period about 40 grievances were filed in project office. Among them, 34 complaints have been settled instantly in activating first and second level of grievances. Most of the grievances are related with house connection and reinstatement of damaged public utilities and proper settlement mock out from trench digging.

Table no 4 List of Grievances received and handled in reporting period

S.N	Date	Complainer	Package	Issue	Method of Settlement	Current Status	Remarks
1.	October, 2016	Sarbagya Bajracharya	KUKL/DNI/Package II-2776	Reinstatement not done completely as it is as before (Maintenance/Reinstatement of road)	Co-ordination with DSC-3, contractor & community people.	Not solved	
2.	November, 2016	Purna Acharya (Pramesh Thapa) 9851021895	(DNI-3) KUKL/DNI/Package II-2776	Missing the house connection	Co-ordination with DSC-3, contractor & community people.	Not solved	
3.	November, 2016	Narayan Poudel	(DNI-3) KUKL/DNI/Package II-2776	Missing the house connection	Co-ordination with DSC-3, contractor & community people.	solved	
4.	November, 2016	Shyam Bdr. Pandey (parcel no 699)	BDS IV, Katunje	Compensation Issue of Katunje SRT, Bhaktapur (Additional Finance Loan No:3255)	Co-ordination with melamchi 1 & local peoples	Not solved	
5.	November, 2016	Krishna Bhakta Kuru (parcel no 699 & 696)	BDS IV, Katunje	Compensation Issue of Katunje SRT, Bhaktapur (Additional Finance Loan No:3255)	Co-ordination with melamchi 1 & local peoples	Not solved	
6.	November, 2016	Compensation Issue of Katunje SRT, Bhaktapur	BDS IV, Katunje	Compensation Issue of Katunje SRT, Bhaktapur (Additional Finance Loan No:3255)	Co-ordination with melamchi 1 & local peoples	Not solved	
7.	December, 2016	Narayan Poudel 9851003493	KUKL/DNI/Package II-2776 DNI-3,	Reinstatement (Maintenance/Reinstatement of road)	Co-ordination with DSC-3, contractor & community people.	Not solved	
8.	December 2016	Community People	KUKL/DNI/Package I-2776 DNI-2	Injured labor due to electricity pole damage (Livelihood disturbance)	Coordination with NEA & contractor	Solved	
9.	December 2016	Raghunath Panta 9851055610	Saraswotinagar, Primary line	Public shutter damaged by JCB (Structural Damage)	Co-ordination with Tole Sudar Sammitte & decided to compensate Rs.40000	Solved	

S.N	Date	Complainer	Package	Issue	Method of Settlement	Current Status	Remarks
10.	December 2016	Bekha Ram Shrestha 5526409	DNI-8 KUKL/DNI/Package III-	Block Pavement not done Completely (Maintenance/Reinstatement of road)	Co-ordination with DSC-3, contractor & community people.	Solved	
11.	December 2016	Chirkaji Maharjan	DNI-6 KUKL/DNI/Package I-	Dumping of construction material)	Co-ordination with DSC-3, contractor & community people.	Solved	
12.	December 2016	Govinda Karki 9841354338	KUKL/DNI/Package II- DNI-7	Demand of additional structure	DSC-3 & CAPC team convinced him KUKL rule and regulation.	Solved	
13.	December 2016	Community People	DNI-2 KUKL/DNI/Package I-	Dumping of construction material	Co-ordination with DSC-3, contractor & community people	Solved	
14.	December 2016	Community People	KUKL/DNI/Package I-	Dumping of construction material	Co-ordination with DSC-3, contractor & community people	Solved	
15.	December 2016	Bhagwati Upreti 01-4414526	KUKL/DNI/Package I-	Dumping of construction material	Co-ordination with DSC-3, contractor & community people	Solved	
16.	December 2016	Community People	KUKL/DNI/Package I-	Dumping of construction material	Co-ordination with DSC-3, contractor & community people	Solved	
17.	December 2016	Sarbagya Bajracharya	KUKL/DNI/Package II- DNI-7	Dumping of construction material	Co-ordination with DSC-3, contractor to remove excess soil	Solved	
19.	December 2016	Yogesh Kumar Khadki 9841243566	KUKL/DNI/Package II-6 DNI-7	Missing the house connection	Co-ordination with DSC-3, contractor & community people	Solved	
20.	December 2016	Ashok Poudel 9841793301	KUKL/DNI/Package II-DNI-3	Community people demanding the pipe laying work	Co-ordination with DSC-3, contractor & community people	Solved	
21.	December 2016	Bishoyambar Lal Pradhan	KUKL/DNI/Package II-DNI-7	Dumping of construction material	Co-ordination with DSC-3, contractor & community people	Solved	
22.	December 2016	Puskar Pandey 9841515418	KUKL/DNI/Package II-DNI-7	Dumping of construction material)	Co-ordination with DSC-3, contractor & community people	Solved	
23.	December 2016	ManMohan Hospital	KUKL/DNI/Package II- DNI-7	(Maintenance/Reinstatement of road)	Co-ordination with DSC-3, contractor & community people	Solved	
24.	December 2016	Raja Ram Shahi 9841636505	KUKL/DNI/Package II- DNI-7	(Maintenance/Reinstatement of road)	Co-ordination with DSC-3, contractor & community people	Solved	
25.	December 2016	Dal Bahadur Thapa 9849986953	KUKL/DNI/Package II- DNI-3	House Connection Missing	Co-ordination with DSC-3, contractor & community people	Solved	
26.	December 2016	Bhumi Nanda Chudal 9841667106	KUKL/DNI/Package II-DNI-3	(Maintenance of utility)	Suggest the DSC-III to record the damage part & photos.	Solved	
27.	December 2016	Krishna Man Sighn 9841326081	KUKL/DNI/Package II- DNI-7	Community people demanding the pipe laying work	Co-ordination with DSC-3, contractor & community people	Solved	
28.	December 2016	Bal Krishna Poudel 9851044052	KUKL/DNI/Package II- DNI-3	House Connection Missing	Co-ordination with DSC-3, contractor & community people	solved	

S.N	Date	Complainer	Package	Issue	Method of Settlement	Current Status	Remarks
29.	December 2016	Kishor Shrestha 981844664	Primary Line Package I	Electricity pole Damaged & Drinking water tank Damaged (Structural Damage)	Co-ordination with contractor & Community People i.e Compensate Rs. 16,500	Solved	
30.	December 2016	Mamata Pandey 9845383959	KUKL/DNI/Package I-	Damaged of Glass of door of shop. (Structural Damage)	Co-ordination with DSC-3, contractor & community people.	solved	
31.	December 2016	Jivan Gywali 9841481647	KUKL/DNI/Package II- DNI-7	House connection missing)	Co-ordination with DSC-3, contractor & community people	Solved	
32.	December 2016	Urmila Gartaula 9808430509 , 9841506755	KUKL/DNI/Package I-, Primary Line	(Maintenance of utility)	Co-ordination with DSC-3, contractor & community people.	solved	
33.	December 2016	Tika Devi Sapkota 9849655667	KUKL/DNI/Package I-, Primary Line	Forehead Injured (Livelihood disturbance)	Treatment cost 40000/- is given to injured person in co-ordination with DSC-3, contractor	Solved	
34.	December 2016	Laksya Kumar Thapa 9841568868	KUKL/DNI/Package I-	House Connection damaged (Maintenance of utility)	Co-ordination with DSC-3, contractor & community people	Solved	
35.	December 2016	Raja Ram Shahi 9841636505	KUKL/DNI/Package II-	Not Remove Excess soil)	Co-ordination with DSC-3, contractor & community people	Solved	
36.	December 2016	Kedar poudel 9851140890	KUKL/DNI/Package II-	Maintenance/Reinstatement of road)	Co-ordination with DSC-3, contractor & community people	Solved	
37.	December 2016	Ram Kumar Raut 9841564542	KUKL/DNI/Package II-	House connection	Suggest the DSC-III to record the damage part & photos.	Solved	
38.	December 2016	Sri Ram Rai 9851054269	KUKL/DNI/Package I-	House Connection damaged (Maintenance of utility)	Co-ordination with DSC-3, contractor & community people	solved	
39.	December 2016	Indra Bajracharya (Ward-6) Lalitpur 9851518008	-6 KUKL/DNI/Package I	(Dumping of construction material)	Co-ordination with DSC-3, contractor & community people	solved	
40.	December 2016	Basudev Mudasaini 9841439615	KUKL/DNI/Package I-	Maintenance/Reinstatement of road)	Co-ordination with DSC-3, contractor & community people	solved	

Source : CAPC, 2016

G. Institutional Arrangement

PID KUKL entrusted with the responsibilities for planning, design, and implementation of the project. The PID KUKL is also responsible to ensure compliance with safeguard requirements of the Government and ADB and for day-to-day monitoring of project progress, including the implementation of resettlement provisions in the project and to produce progress report on all aspects concerning planning, implementation and monitoring of the land acquisition and resettlement issues. Design, Supervision and management Consultant (DSC)

have been engaged to carry out the detail design, supervision and management of the projects. The DSC Social Safeguard Specialist is responsible to carry out the social impact assessment issues and to closely work with PID for safeguard implementation. The PID has also engaged Community Awareness and Participation Consultation (CAPC) to carry out the community mobilization activities. The CAPC is also responsible for the implementation safeguard related activities and RP implementation in field level

H. Monitoring Results/Finding

Up to the reporting period, the implementation of RP is continuing. The impacts are avoided by good traffic and construction time management. The safeguard team has been working closely with construction supervision team to avoid the adverse impact. The grievances related to social safeguard is treated immediately within the project entitlement framework and the numbers of grievances related to social safeguard are few. Local consultative groups are formed in each DNI and BDS service area to facilitate the project during civil work.

The assessment, monitoring, evaluation and reporting of the resettlement activities will be undertaken by the project. The monitoring and assessment / evaluation of the contractors' resettlement activities has been carried out by using formats specific to each project and visiting the sites during working hours. The format presents the status of work, problems, mitigation measures adopted by the contractors etc. Such information has been presented in Consultant's monthly report on Environmental and Social Safeguards. Resettlement Plans have been prepared with the entitlements, assistance and benefits, provision of business, institutional arrangements, tasks and responsibilities of the each level of the agencies involved in resettlement planning for the project.

I. Compliance Status

One full time Senior Environmental Officer in PID KUKL has been recruited since the beginning of project. DSC-3 has also mobilized Social Safeguard Specialist from October 2013. The experts for the implementation of RP and monitoring of safeguard activities have been recruited. Covenants written into loan agreement that is related to resettlement safeguard are listed in **Table no. 5**, with the status of compliances up to the reporting period.

Table no.5 Status of Resettlement & Social Safeguard Issued of the Project

Covenant	Safeguard Applicability	Status of Compliance
Resettlement: The Borrower, PID, KUKL shall ensure that:		
The project involves involuntary resettlement so RP will be prepared based in Resettlement Framework (RF) agreed upon the Borrower and ADB, the borrowers land acquisition act 2034 and ADB's Safeguard Policy Statement (2009)	Directly applicable; compliance with both ADB and GoN requirements	Compiled with
The RP that has been prepared and agreed by the Borrower ADB shall be updated and provide to ADB for review and clearance following detailed design and prior to civil works contract awarded	The RP has been prepared based on RF and being updated periodically and forwarded to ADB for review	Compiled with;
All affected persons are given adequate opportunity to participate in the resettlement planning and implementation	Public participation basis for resettlement planning	Complied with
The RP will be disclosed to the affected persons, who are compensated and assisted prior to displacement from their house, land and assets, before commencement of any works	Required by RF	Complied with
Additional assistance is provided for vulnerable groups	Required by RF	Complied with
Works contracts under the project include requirements to comply with RPs;	Required by RF	Complied with
Implementation of the RP is monitored internally by the PID and reported to ADB semiannually	Include as fundamental aspect of safeguard reporting	Complied with
Affected persons have an opportunity to express grievances at appropriate levels, and each levels are instructed to resolve disputed and implement measures promptly in accordance with the grievance redress process outlined in the RP	Purpose of grievance redress mechanism	Complied with

J. Follow up Action and Recommendations

The monitoring of social safeguard activities and implementation will be continued during the project implementation. The project will involve in following action for the implementation of RP.

- Facilitate for compensation, if the vendors experience complete closure during excavation and pipe laying period from PID KUKL office.
- Facilitate Grievance Handling: It will be facilitated to mobilize project team to address the claims, obstruction and grievances. The team will verify the case related to compensation claims and resettlement close collaboration with project affected families.
- CDC meetings will be organized, if required.
- The regular follow up and monitoring of safeguard compliance will be continued. The project team will be involved in monitoring and providing instruction to contractors, if, they fail to compile the safeguard compliance.
- Consultation and dissemination: It will be conducted on regular consultative meeting with project affected people and other stakeholders to overcome the possible social problems and right information dissemination. The consultative meeting will be conducted in each project constructed area and inclusive.

Annex I
Photographs



Community meeting for grievance handing



Consultation meeting at DNI III



Secretary from ministry inspecting construction work



Construction crew with safety equipment



Meeting of GRM team to address grievance of BDS -III



Meeting with CA members of Kathmandu valley



Safety use equipment by construction crew

Annex II
Minute of Consultation Meetings

Annex-: Minute of the BDS-1 Community Meetings.

①

3116-2115

२। ललाटे पर ३० मूल नक्षत्र चरित्र

7 विशेष 2011 लासिहाने P7A/KUKL 984/985329

21/11/2017

Annex-: Minute of the BDS-2 Community Meetings.

28 Dec 2016

(1)

માજા મિલિ 2016-2 સપ્તાહ પૌષ વદગતેના દિન સરસ્વતીનગર સુધાર સંઘ
ના અધ્યક્ષ શ્રી રધુભાઈ મળડરીડર હો અવગતમા મેલમચીરવાને
પાની માયોડના મેલમગત પિ. આર. ડિ. લાટ કા. મ. પા. ૬ સરસ્વતીનગર
મા સંગ્રહાલય ગારેલો પાશ્વ લાઇન વિસ્તાર કાર્ય ત્રિવિમિ
સંરચના યોગેલો મા સુધાર ગર્ભના લાગે સમીક્ષા ગારે
યજ્ઞાશ્રી કામ મેગડી લાઇનના લાગે સરસ્વતીનગર
સુધાર સંઘના પદાધિકારીડર સંબંધિત કોતરના-
યોજાવાસે ડાહલો ઉપાધ્યોત્તેમા સમીક્ષા લેલો લાસે
નિમ્ન અવગતનો સિદ્ધિ મેલો.

ઉપાધ્યોત્તે

સરસ્વતીનગર સુધાર સંઘના કાર્યસમીતી પદ

પદાધિકારી ડાહ-

૧. અધ્યક્ષ શ્રી રધુભાઈ મળડરી M-0
૨. ઉપાધ્યક્ષ શ્રી મોડેસ સિં ગુજડ M-J
૩. સ્વામી શ્રી દેવીભાઈ ગોમી M-0
૪. સહસ્વામી શ્રી સગર હુડિલ M-C
૫. ગોમધ્યક્ષ શ્રી લાલિભાઈ ગાઈ M-J
૬. સભ્ય શ્રી રાજેશ્વર ગોમધ્યક્ષ
૭. સભ્ય શ્રી કુલપ્રસાદ ઉપાધ્યક્ષ

F-0

M-J

M-0

પદાધિકારી મળડરી F-0

મી. મળડરી ગુજડ M-J

મી. મળડરી સીમી M-J

મી. મળડરી કોતર F-T

૫- સભ્ય ૬

૧૦- સભ્ય ૬

૧૧- સભ્ય ૬

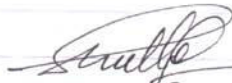
Annex-: Minute of the BDS-4 Community Meetings.

5 Feb 2016
(1)

आज मिति २०७३ साल मंसिर २० गते शनिवारका दिन पि.आई.डि./ सि.ए.पि.सि. का प्रतिनिधि डा. शम्भू उसाद कट्टेल र श्री खगेन्द्र पन्तको संयोजकत्वमा सूर्य विनायक नगरपालिका वडा नं. ५ पाण्डु बजारस्थित आयोजनाको पानी, ट्याङ्की निर्माण स्थलमा २०७३ सालमा अधिकारमा परेकोमा मुद्राशुल्क लिन जाँकी नगगा धनिएरु स्थानिय सामाजिक तथा राजनैतिक कार्यकर्ता र भद्र प्रजापतीहरूको बैठकले निम्न विषयहरूमा छलफल गरी निर्णय गरियो :

नुपास्थिति

१. डा. शम्भू उसाद कट्टेल



M-O

17 Feb 2016

तपस्

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२. खगेन्द्र पन्त

M-O

३. सत्य नारायण देवजु

M-J

४. विमोद पाण्डे

M-O

५. उषाधु के.सी

M-O

६. उदय गालीमे

M-O

७. इन्द्रिय प्रसाद

M-O

८. बसुन्धरा पाण्डे

M-O

९. रमेश पाण्डे

M-O

१०. दिनेश सुवाल

M-J

११. विमल सुवाल

M-J

१२. हरि भक्त कुँडु

M-O

१३. एस राज पाण्डे

M-O

१४. श्री राज विजय

M-J

१५. गंगा प्रसाद सुवाल

M-J

१६. गंगा

M-J

१७. गंगा

M-J

१८. गंगा सुवाल

M-J

१९. गंगा

२०. गंगा

२१. गंगा

कोमल

5 Dec 2016
2

दलपलका विषयहरू :-

- १) सम्पूर्ण जग्गा अधिग्रहणमा परी घरबास गुमाउने परिवारलाई बिस्थापन भत्ता उपलब्ध गराउने कोर ।
- २) निज बिस्थापितलाई घरभाडा, घर टहरो भत्काउँदा लाग्ने खर्च, सामान कुम्हानी खर्च उपलब्ध गराउने कोर ।
- ३) गहुँवालि लगाएकोमा गुमाउनु पर्ने बालि हराउने कोर ।
- ४) विविध -
निर्णयहरू

- १) प्रस्ताव नं: १ माथी दलपल गर्दा - अधिग्रहणमा परेको किरा नं: ६६६ का जग्गाधारी श्री श्याम बहादुर पाण्डे

श्री बिमोद पाण्डे - १.२
परिचार र दोराछोरी गरी
सा रवाने गरी सोही
गोरेको हुँदा निज -
भन्ने जाने हुँदा र निज -
ले जग्गा जग्गेन नभएको
निजको परिवारलाई
००,०००/- (अक्षरूपी) तिन
गुम्हालेले निर्णय गरियो ।
नेज पाण्डे, दाजुभाईले
जग्गामा ईटामा सिमेन्टले
र बनाई बस्दै आएकोमा
रि भएकोले निम्नानुसार
गराउने अर्थ निर्णय

हकवालाहरु श्री बडुराज पाण्डे - १,
श्री रमेश पाण्डे - १ समेत २ जनाको
जम्मा १४ जनाको परिवार रूके भन्ने
जग्गामा घर टहरो बनाई बस्नेबास
हरु अधिग्रहण पश्चात बिस्थापन
हरुको नेपाल राज्य क्षरी अन्ध्र क
भानि निवेदन दिएको खर्च हरेक गेलाले
उचित बिस्थापन भत्ता कम्तीमा रु. ३,
लाख मात्र) दिनु पर्ने भनि सबै र
२) प्रस्ताव नं: २ माथी दलपल गर्दा - १
अधिग्रहणमा परेको सोही ९ आना जग्गा
जोडी शिन्को क्षानी लगाई एक तले घर
सो घर टहरो भत्काई अन्ध्र खर्च पाउने
अन्ध्र अन्ध्र आबश्यक खर्च उपलब्ध

टहरो भत्काउँदा लाग्ने खर्च कम्तीमा रु. ६०,०००/-
रु. ६०,०००/- जोडेर लगाई भत्काउँदा सामानहरुमा
कोले होसिमारी लाग्न हरेकले भत्काउन पर्ने हुँदा
रु. २२ आधिक खर्च हिसाब गर्दा कम्तीमा रु.

गोरेको ।

घर
सो घर टहरो
जग्गा जग्गेन भए
स्थापित जग्गाले

5/2/16

5 Feb 2016
(3)

- निजको निजहरूको घरबाट विस्थापन भएपछि अन्यत्र स्थापना तथा सम्पत्तीमा एक महिना लाग्ने भएकोले एक महिना बराबरको क स्थानिय चलन-चलतीको इकोडा, १ भास्सा २ ५ इवाइले २ बाबरुम भएको एक फुटाटको लागि भाडा रु. २५,०००/- उपलब्ध गराउने सिफारिस गर्ने निर्णय गरियो।

- निजको घर टहरो फाल्फा अन्यत्र सार्नेका लागि कुंवानी खर्च बापत कम्तीमा रु. ३०,०००/- उपलब्ध गराउन सिफारिस गर्ने सर्व सम्पत्तीले निर्णय गरियो।

गहुँवालि

सम्बन्धमा
प्राप्त
विहान
गुर्दा

प्रयत्नभएको

३) प्रस्ताव नं. ३ माथी दलफल गर्दा - मुआब्जा निर्धारण गर्ने आजको बैठकमा थालि लगाउने सम्पूर्ण किसानहरु ३ नमको हुँदा आगामी २६ गते मंसिरको शुक्रवार ८:०० बजे SRT मा उपस्थित गर्ने थालिको मुआब्जा जग्गाको क्षेत्र निर्धारण गर्ने भन्ने निर्णय गरियो।

४) प्रस्ताव नं. ४ का सम्बन्धमा दलफलको कुनै वि ले आजको बैठक समाप्त गरियो।

14/2/16

Annex-: Minute of the DNI-II Community Meetings.

15 Dec 2016

(2)

निर्णय नं.-१ मागी झलफल जदी स्थानीय अजगावनी दहको
 रोहवरको आगोजा काम-वम निमेशालप / काठमाडौं उपत्यका
 रानेपानी लीमिटेडको काठमाडौं उपत्यका रानेपानी चमक्यापन
 कोडीको नाममा खेदीको अजगाको सीमापुर्कन जखने काम समाप्त ज-मा

निर्णय नं. २ मागी झलफल जदी ~~काठ~~ कटुञ्जेको Service Reservoir
 निम्न निर्माण स्थलकोर खेदी विक्रमलाल सुवालको कुरुरापालन
 जग व्यानाइको तहकोर उचीत मुलमाडुका गरी निज -
 कुरुरापालन लाई ^{दोपुनी} ~~पुर्कन~~ दिने ~~खर्च~~ ^म ~~निर्माण~~
 गरीयो साथ निज ~~कुरुरापालन~~ विक्रमलाल सुवालको कुरुरा
 पालन जग व्यानाइको तहकोर दोपुनी कमिना रु ६०००००- (छःलाख)

उपजने निर्णय गरीको /
 / /

माफ दिने कुरुरापालनको लागि अग्र
~~मा~~ ~~हो~~ ~~दी~~

गंगा जगल

M-14

५-०

F-1

J-10

T-15

O-5

Annex-: Minute of the DNI-III Community Meetings.



3116-2110

3182149 215 114151 11215-11 M-0

A handwritten signature in dark ink, appearing to be "J. H. ...".

26/5/34

2.

2

7-10-74 M-0

7. Methylene M-O

3. अक्षर	अक्षर	M-O
अक्षर	अक्षर	F-O
अक्षर	अक्षर	M-O
अक्षर	अक्षर	M-O
अक्षर	अक्षर	M-J
अक्षर	अक्षर	F-O
अक्षर	अक्षर	M-O
अक्षर	अक्षर	M-O
अक्षर	अक्षर	M-O

$$T = \frac{3.14 \times 10^8}{1.5 \times 10^8} = 2.07$$

L 9841985329

काठमाडौं उपत्यका शान्तिमार्ग
को निर्देशनालयको लागि
रु विजय २०१५ लक्ष्मीहरने P10/KCK

DSC 3 2810480684
 PIR/CAPC 9801574938 (L)
 40792 90/Kaliko J/V - 9851071805
 9844387735

२५/१० २०२०
 २५/१० २०२०
 २५/१० २०२०
 २५/१० २०२०
 २५/१० २०२०
 २५/१० २०२०

6 Dec 2016

(2)

प्रस्ताव है -

1. मालिकों संरचना। जालों को लाने लाता है।
2. विविध -

प्रस्ताव मैं जमा हलफल जहाँ मध्य शांतिपूर्ण रूप से
मित्र स्वतंत्रता के माध्यम से विच्छाउदा विजय मल्लिक
संरचना के बावजूद जे जस्टो अवस्था में ही अलम्बा
मा लम्बे लम्बे समानता सारा सौ लम्बे जहाँ जरी
निर्माण जहाँ समानता जहाँ। जहाँ लम्बे लम्बे देखें

समय को हलफल समानता जहाँ जरी
उपलब्ध स्वतंत्रता लि. आयोजन
मालिकों संरचना जहाँ जरी लम्बे समान
रहा।

मा हलफल जहाँ लम्बे लम्बे देखें
समानता लम्बे लम्बे लम्बे
जहाँ लम्बे लम्बे लम्बे लम्बे

मालिकों संरचना जहाँ लम्बे लम्बे

मालिकों संरचना जहाँ लम्बे लम्बे
मालिकों संरचना जहाँ लम्बे लम्बे
मालिकों संरचना जहाँ लम्बे लम्बे


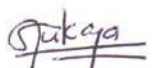

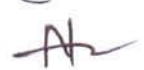



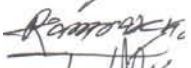


प्रस्ताव मैं 2
मालिकों संरचना जहाँ लम्बे लम्बे
मालिकों संरचना जहाँ लम्बे लम्बे

मालिकों संरचना जहाँ लम्बे लम्बे

Annex-: Minute of the DNI-II Community Meetings.

आज मिति २०७३ साल भाद्र २२ गते बुधवार
 आयोजना कार्यन्वयन निर्देशनालय ले हुल्नु
 मा Primary Pipe लाइन तथा HDPE
 पाइप विस्तार अगाडी आयोजित बैठकमा
 DSC-3, CAPC, Contractors तथा TLO, स्थानिय
 बासिन्दाहरुको निम्न बमोजिम उपस्थिति
 रहेको।

क्र.सं. नाम/सिमाना सम्पर्क नं. हस्ताक्षर

	१.	राजु पौडेल, डब्लु	९८५१२०६५२८
	२.	Er. सुदीप अधिकारी	९८५११५६१५३
	३.	शुभा पौडेल	९८५११३१४२६
	४.	CSE त्रिभुवन लाम्छुङ	९८५१२२३७६९
	५.	अमृत मान श्रेष्ठ	९८५१०६२५५१
	६.	महेन्द्र महर्जन	९८५१०२२९९०
	७.	केदार (चित्रकार)	९८५१०६३०६१
	८.	शैलानन्द रत्न तामाकार	९८५१०७५२१५
	९.	राजेश कुमार पौडेल	९८५१०५९३०४
	१०.	राजकुमार महर्जन	९८५१०५९१५९

११. अण्णु रेग्मी ९८५१०५९१५९
 १२. त्रिभुवन तामाकार ९८५१०५९१५९
 निम्नः १. वज्र होटेल अगाडी
 पाइप लाइनको
 वज्र निर्माण कार्य

२. कोहनाण्डा नगरपालिका सँग वृष्टि होटल
उगाडीको बाटामा पिच गर्ने विषयमा
DNI-10 का TLD अन्त्यको तर्फबाट
Primary Pip line सम्पन्न भएपछि मात्र
पिच गर्नेका लागि आवश्यक समन्वय
गर्ने निर्णय गरियो,

Annex III
News Letter

Annex: 4

Sample of Grievance registration form

PROJECT IMPLEMENTATION DIRECTORATE
KATHMANDU UPATYAKA KHANEPANI LIMITED
MELAMCHI SUB PROJECT-2
Grievance registration form

Date of Complaint:

- 1. Name of affected person/community:**
- 2. Contact address:**
 - Street/Tole :
 - Village/Municipality :
 - Ward No :
 - Telephone/Mobile No:
- 3. Grievance/complain in detail:**
- 4. Possible solution of grievance and satisfaction by the affected person/community with date:**

Signature: